

REPORT TO: Executive Board
DATE: 28th March 2013
REPORTING OFFICER: Strategic Director - Communities
PORTFOLIO: Health and Adults
SUBJECT: Complex Care Services
WARD(S): Borough-wide

1.0 **PURPOSE OF REPORT**

1.1 This report presents the Executive Board with a summary of the work that has taken place with regards to implementation of the Complex Care Business Case and associated pooled budget arrangements since the Business Case was agreed at the Board on 4th October 2012.

2.0 **RECOMMENDATION: That Executive Board:**

- i) **Note the contents of the report; and**
- ii) **Agree the 3 year Joint Working Agreement (1.4.13 – 31.3.16) made under Section 75 of NHS Act 2006, including pooled budget arrangements, between Halton Borough Council (HBC) and Halton Clinical Commissioning Group (HCCG) attached at Appendix 1.**

3.0 **SUPPORTING INFORMATION**

3.1 Background

The Complex Care Business Plan was developed to help address the increasing challenges faced by the Health and Social Care economy within Halton in being able to respond effectively to people's needs and provide high quality services within limited and reducing resources.

The Plan focused on the need to improve joint working between health and social care partners, and provided us with the opportunity to reconsider our approach to supporting people with complex needs in Halton and the opportunities that could be realised by adopting an integrated model of working.

The Complex Care Business Case outlined the mechanisms of how pooling health, social care resources and the alignment of systems would not only improve effective and efficient joint working, but more importantly improve the pathways, speed up discharge processes, transform

patient/care satisfaction and set the scene for the future sustainability of meeting the current and future needs of people with complex needs.

The Business Case received approval from both HBC's Executive Board and HCCG's Governing Body and subsequently work has been progressed in terms of its implementation.

The overall implementation of the Business Case will ensure that an integrated system is developed and appropriately managed, in addition to a formal pooled budget arrangement, to ensure that the resources available to both Health and Social Care are effectively used in the delivery of personalised, responsive and holistic care to those who are most in need.

Progress on Implementation

- 3.2 The Complex Care Board, chaired by HBC's Executive Board Portfolio Holder (Health and Adults), with support and input from the Executive Board Portfolio Holder (Resources), has played a fundamental role in leading the development of this new approach to supporting those with Complex Care needs.

In order to ensure that the Complex Care Business Plan is appropriately implemented by 1st April 2013, a number of task and finish sub groups have been established to take forward the required work. The Groups established included:

- An overarching Steering Group;
- An Assessment and Operations Sub Group;
- A Joint Working Agreement Sub Group;
- A Commissioning Sub Group; and
- A Finance Sub Group

The work of these Sub Groups has subsequently been subsumed into the work of the Executive Commissioning Board.

- 3.3 Work completed includes :-

- Introduction of appropriate governance arrangements for the new Complex Care integrated system via the Complex Care Board, which meets on a bi monthly basis and is accountable to both the HCCG's Governing Body and HBC's Executive Board. The Partnership Board is supported by an Executive Commissioning Board;
- Development of a Joint Working Agreement under Section 75 of the NHS Act 2006. This Agreement provides the legal framework in which HCCG and HBC will work together in order to achieve their strategic objectives of commissioning and providing cost effective, personalised, quality services to the people of Halton;
- Review of the current assessment pathways and processes to

reduce duplication, focus on decision making around assessment of need, eligibility for potential funding streams and process for the authorisation of resources;

- Identification of the processes required to support the commissioning/monitoring of the new system (including transition arrangements), for example the identification of unmet need, the contracting and quality monitoring of packages of care, and residential and nursing placements for those with complex care needs;
- A review of the Healthcare system Broadcare has been completed to establish a detailed list of clients and a description of packages to enable an easy transition into the pooled arrangements; and
- A data sharing protocol has been developed to ensure the assessment and benchmarking information can be shared and thus monitored effectively.

4.0 POLICY IMPLICATIONS

4.1 New policies and procedures are in the process of being developed to ensure that the new integrated system, in addition to the pooled budget arrangements for continuing healthcare, will operate effectively in accordance with the principles and processes of the National Framework for NHS continuing healthcare and NHS-funded nursing care.

5.0 OTHER/FINANCIAL IMPLICATIONS

5.1 The Joint Working Agreement will be underpinned by a pooled budget arrangement.

5.2 The Local Authority will act as the host organisation for the pooled budget and it would be managed by the Operational Director (Prevention and Assessment).

5.3 The pooled budget for 2013 / 14 will consist of:

- Adult Community Care Spend £15,473,390
- Continuing Health Care £9,726,200
- Intermediate Care £4,820,926
- Joint Equipment Services £498,000
- Specific Grants £2,400,000

Totalling : £32,918,516

6.0 Implications for the Council's Priorities

6.1 Children & Young People in Halton

Moving from children's to adults' services, at age 18, is a key transition point and this would be considered in the establishment of associated transition processes.

6.2 **Employment, Learning & Skills in Halton**

None identified

6.3 **A Healthy Halton**

Those people who are in receipt of long term care whether that is funding from Health or Social Care are those people in our communities with some of the most clinically complex and severe on going needs, so it is essential we have effective mechanisms in place to ensure that people we provide services to receive appropriate outcomes.

The integrated system and pooled budget arrangements developed will ensure that the resources available to both Health and Social Care are effectively used in the delivery of personalised, responsive and holistic care to those who are most in need.

6.4 **A Safer Halton**

None identified.

6.5 **Halton's Urban Renewal**

None identified.

7.0 **RISK ANALYSIS**

7.1 On-going management of the Agreement will be conducted via the Complex Care Board, which consists of appropriate representation from across HBC and HCCG, including elected members, specifically Executive Board members with portfolios for Health and Adults and Resources. The Board will ensure that any on-going risks associated with the process etc. are identified and appropriately dealt with via the monitoring of the Complex Care Risk Register.

7.2 In addition to bi-monthly monitoring of the pooled budget by the Pooled Budget Manager, quarterly monitoring reports will be presented to Executive Board and the Health Policy and Performance Board for appropriate scrutiny.

7.3 **EQUALITY & DIVERSITY ISSUES**

8.0 An Equality Impact Assessment is not required for this report.

9.0 **LIST OF BACKGROUND PAPERS UNDER SECTION 100D OF THE LOCAL GOVERNMENT ACT 1972**

9.1

Document	Place of Inspection	Contact Officer
Complex Care Services	Strategic Director's office, Communities, Municipal Building	Dwayne Johnson
National framework for NHS continuing healthcare and NHS-funded nursing care (July 2009)	People & Communities Policy Team	Louise Wilson Louise.wilson@halton.gov.uk